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08/03/01

PTO/SB/21 (6-98)  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

Filing Date

First Named Inventor

Andrei S. Dukhin

Group Art Unit

Examiner Name

Total Number of Pages in This Submission

Attorney Docket Number

## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form☒ Fee Attached☐ Amendment / Response☐ After Final☒ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53☐ Assignment Papers  
(for an Application)☒ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence  
Address☐ Terminal Disclaimer☒ Small Entity Statement☐ Request for Refund☐ After Allowance Communication  
to Group☐ Appeal Communication to Board  
of Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☐ Additional Enclosure(s)  
(please identify below)

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Andrei S. Dukhin

Signature

Date

July 30, 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date

Typed or printed name

Andrei S. Dukhin

Signature

Date

July 30, 2001

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J1040 U.S. PTO  
09/928014



08/03/01

**FEE TRANSMITTAL** Complete if Known

**for FY 1999** AUG 03 2001

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

Application Number	
Filing Date	
First Named Inventor	Andrei S. Dukhin
Examiner Name	
Group / Art Unit	
Attorney Docket No.	

TOTAL AMOUNT OF PAYMENT (\$) \_\_\_\_\_

<p><b>METHOD OF PAYMENT</b> (check one)</p> <p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number _____</p> <p>Deposit Account Name _____</p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <p><b>FEE CALCULATION</b></p> <p><b>1. BASIC FILING FEE</b></p> <table border="1" style="width: 100%;"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101</td><td>201</td><td>760</td><td>380</td><td>Utility filing fee</td><td></td><td>380</td><td></td></tr><tr><td>106</td><td>206</td><td>310</td><td>155</td><td>Design filing fee</td><td></td><td></td><td></td></tr><tr><td>107</td><td>207</td><td>480</td><td>240</td><td>Plant filing fee</td><td></td><td></td><td></td></tr><tr><td>108</td><td>208</td><td>760</td><td>380</td><td>Reissue filing fee</td><td></td><td></td><td></td></tr><tr><td>114</td><td>214</td><td>150</td><td>75</td><td>Provisional filing fee</td><td></td><td></td><td></td></tr></tbody></table> <p>SUBTOTAL (1) (\$) _____</p> <p><b>2. EXTRA CLAIM FEES</b></p> <table border="1" style="width: 100%;"><thead><tr><th>Total Claims</th><th>Extra Claims</th><th>Fee from below</th><th>Fee Paid</th></tr></thead><tbody><tr><td>Independent</td><td>-20**</td><td>X</td><td></td></tr><tr><td>Multiple Dependent</td><td>-3**</td><td>X</td><td></td></tr></tbody></table> <p>**or number previously paid, if greater; For Reissues, see below</p> <table border="1" style="width: 100%;"><thead><tr><th>Large Entity</th><th>Small Entity</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>103</td><td>203</td><td>18</td><td>9</td><td>Claims in excess of 20</td><td></td><td></td><td></td></tr><tr><td>102</td><td>202</td><td>78</td><td>39</td><td>Independent claims in excess of 3</td><td></td><td></td><td></td></tr><tr><td>104</td><td>204</td><td>260</td><td>130</td><td>Multiple dependent claim, if not paid</td><td></td><td></td><td></td></tr><tr><td>109</td><td>209</td><td>78</td><td>39</td><td>** Reissue independent claims over original patent</td><td></td><td></td><td></td></tr><tr><td>110</td><td>210</td><td>18</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td><td></td><td></td></tr></tbody></table> <p>SUBTOTAL (2) (\$) _____</p>	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	101	201	760	380	Utility filing fee		380		106	206	310	155	Design filing fee				107	207	480	240	Plant filing fee				108	208	760	380	Reissue filing fee				114	214	150	75	Provisional filing fee				Total Claims	Extra Claims	Fee from below	Fee Paid	Independent	-20**	X		Multiple Dependent	-3**	X		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	103	203	18	9	Claims in excess of 20				102	202	78	39	Independent claims in excess of 3				104	204	260	130	Multiple dependent claim, if not paid				109	209	78	39	** Reissue independent claims over original patent				110	210	18	9	** Reissue claims in excess of 20 and over original patent				<p><b>3. 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unavoidable</td><td></td><td></td><td></td></tr><tr><td>141</td><td>141</td><td>1,210</td><td>605</td><td>Petition to revive - unintentional</td><td></td><td></td><td></td></tr><tr><td>142</td><td>142</td><td>1,210</td><td>605</td><td>Utility issue fee (or reissue)</td><td></td><td></td><td></td></tr><tr><td>143</td><td>143</td><td>430</td><td>215</td><td>Design issue fee</td><td></td><td></td><td></td></tr><tr><td>144</td><td>144</td><td>580</td><td>290</td><td>Plant issue fee</td><td></td><td></td><td></td></tr><tr><td>122</td><td>122</td><td>130</td><td>65</td><td>Petitions to the Commissioner</td><td></td><td></td><td></td></tr><tr><td>123</td><td>123</td><td>50</td><td>25</td><td>Petitions related to provisional applications</td><td></td><td></td><td></td></tr><tr><td>126</td><td>126</td><td>240</td><td>120</td><td>Submission of Information Disclosure Stmt</td><td></td><td></td><td></td></tr><tr><td>581</td><td>581</td><td>40</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td><td></td><td></td></tr><tr><td>148</td><td>148</td><td>760</td><td>380</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td><td></td><td></td></tr><tr><td>149</td><td>149</td><td>760</td><td>380</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td><td></td><td></td></tr></tbody></table> <p>Other fee (specify) _____</p> <p>Other fee (specify) _____</p> <p>Reduced by Basic Filing Fee Paid</p> <p>SUBTOTAL (3) (\$) _____</p>	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	106	206	130	85	Surcharge - late filing fee or oath				127	227	50	25	Surcharge - late provisional filing fee or cover sheet				139	139	130	130	Non-English specification				147	147	2,520	2,520	For filing a request for reexamination				112	112	920*	920*	Requesting publication of SIR prior to Examiner action				113	113	1,840*	1,840*	Requesting publication of SIR after Examiner action				115	115	110	55	Extension for reply within first month				116	116	360	180	Extension for reply within second month				117	117	870	435	Extension for reply within third month				118	118	1,360	680	Extension for reply within fourth month				128	128	1,850	925	Extension for reply within fifth month				119	119	300	150	Notice of Appeal				120	120	300	150	Filing a brief in support of an appeal				121	121	280	130	Request for oral hearing				138	138	1,510	755	Petition to institute a public use proceeding				140	140	110	55	Petition to revive - unavoidable				141	141	1,210	605	Petition to revive - unintentional				142	142	1,210	605	Utility issue fee (or reissue)				143	143	430	215	Design issue fee				144	144	580	290	Plant issue fee				122	122	130	65	Petitions to the Commissioner				123	123	50	25	Petitions related to provisional applications				126	126	240	120	Submission of Information Disclosure Stmt				581	581	40	40	Recording each patent assignment per property (times number of properties)				148	148	760	380	Filing a submission after final rejection (37 CFR § 1.129(a))				149	149	760	380	For each additional invention to be examined (37 CFR § 1.129(b))			
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Andrei S. Dukhin	Registration No. (Attorney/Agent)	Telephone 914-241-4777
Signature		Date	July 30, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231